



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application

Inventor(s): Charles E. Taylor  
SC/Serial No.: 10/074,208  
Confirm. No.: 4381  
Filed: February 12, 2002  
Title: ELECTRO-KINETIC AIR TRANSPORTER-  
CONDITIONER DEVICES WITH ENHANCED  
COLLECTOR ELECTRODE

PATENT APPLICATION

Art Unit: 1741  
Examiner: Thao Tran

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, c/o Examiner Thao Tran, Crystal Plaza 3, 8<sup>th</sup> Floor Receptionist, Washington, DC 20231, on October 8, 2002.

Sheldon R. Meyer, Reg. No. 27,660  
Signature Date: October 8, 2002

(Attorney Signature)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
c/o Examiner Thao Tran  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of all references are included for the Electro-Kinetic Air Transporter Conditioner applications, including continuations and continuations-in-part. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is

provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

☒ A copy of the PCT Search Report dated August 6, 2001 as well as cited references is enclosed.

☐ **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

***This statement should be considered because:***

☒ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

☐ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
- ☐ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☐ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;  
-- AND --


- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --  
(3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

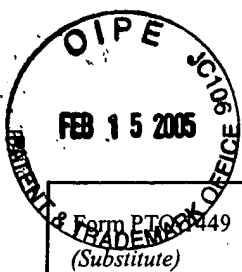
Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: October 8, 2002

By:   
Sheldon R. Meyer, Reg. No. 27,660

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				Attorney Docket Number <b>SHPR-01041US0</b>		Serial/Patent Number <b>10/074,208</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner <b>Charles E. Taylor</b>			
				Filing/Issue Date <b>February 12, 2002</b>		Group Art Unit <b>1741</b>	
<b>U.S. PATENTS</b>							
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date	
	1.	2,949,550	Aug. 16, 1960	Brown	310	5	Jul. 3, 1957
	2.	3,984,215	Oct. 5, 1976	Zucker	55	2	Jan. 8, 1975
	3.	4,052,177	Oct. 4, 1977	Kide	55	139	Mar. 1, 1976
	4.	4,138,233	Feb. 6, 1979	Masuda	55	139	Jun. 16, 1977
	5.	4,209,306	Jun. 24, 1980	Feldman et al.	55	2	Nov. 13, 1978
	6.	4,231,766	Nov. 4, 1980	Spurgin	55	138	Dec. 11, 1978
	7.	4,232,355	Nov. 4, 1980	Finger et al.	361	235	Jan. 8, 1979
	8.	4,244,712	Jan. 13, 1981	Tongret	55	124	Mar. 5, 1979
	9.	4,259,452	Mar. 31, 1981	Yukuta et al.	521	52	May 15, 1979
	10.	4,266,948	May 12, 1981	Teague et al.	55	126	Jan. 4, 1980
	11.	4,282,014	Aug. 4, 1981	Winkler et al.	55	105	May 21, 1979
	12.	4,342,571	Aug. 3, 1982	Hayashi	55	137	Jun. 14, 1978
	13.	4,386,395	May 31, 1983	Francis, Jr.	363	27	Dec. 19, 1980
	14.	4,413,225	Nov. 1, 1983	Donig et al.	323	246	Jul. 17, 1981
	15.	4,445,911	May 1, 1984	Lind	55	2	Dec. 15, 1981
	16.	4,502,002	Feb. 26, 1985	Ando	323	237	Sep. 2, 1982
	17.	4,536,698	Aug. 20, 1985	Shevalenko et al.	323	237	Aug. 25, 1983
	18.	4,587,475	May 6, 1986	Finney, Jr., et al.	323	241	Jul. 25, 1983
	19.	4,600,411	Jul. 15, 1986	Santamaria	55	139	Apr. 6, 1984
	20.	4,601,733	Jul. 22, 1986	Ordines et al.	55	139	Sep. 27, 1984
	21.	4,626,261	Dec. 2, 1986	Jorgensen	55	2	Dec. 12, 1985
	22.	4,659,342	Apr. 21, 1987	Lind	55	2	Apr. 30, 1984

**U.S. PATENTS**

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	23.	4,674,003	Jun. 16, 1987	Zylka	361	235	Apr. 3, 1985
	24.	4,694,376	Sep. 15, 1987	Gesslauer	361	235	Sep. 26, 1985
	25.	4,713,093	Dec. 15, 1987	Hansson	55	139	Jul. 8, 1986
	26.	4,779,182	Oct. 18, 1988	Mickal et al.	363	37	Jun. 24, 1986
	27.	4,808,200	Feb. 28, 1989	Dallhammer et al.	55	105	Nov. 12, 1987
	28.	4,940,470	Jul. 10, 1990	Jaisinghani et al.	55	2	Mar. 23, 1988
	29.	5,010,869	Apr. 30, 1991	Lee	123	539	Aug. 11, 1989
	30.	5,196,171	Mar. 23, 1993	Peltier	422	121	Mar. 11, 1991
	31.	5,217,504	Jun. 8, 1993	Johansson	55	2	Mar. 20, 1990
	32.	5,302,190	Apr. 12, 1994	Williams	95	57	Jun. 8, 1992
	33.	5,315,838	May 31, 1994	Thompson	62	129	Aug. 16, 1993
	34.	5,378,978	Jan. 3, 1995	Gallo et al.	323	241	Apr. 2, 1993
	35.	5,484,472 C1	Feb. 20, 2001	Weinberg	96	26	Feb. 6, 1995
	36.	5,578,112	Nov. 26, 1996	Krause	96	24	Jun. 1, 1995
	37.	5,667,564	Sep. 16, 1997	Weinberg	96	58	Aug. 14, 1996
	38.	5,814,135	Sep. 29, 1998	Weinberg	96	58	Sep. 15, 1997
	39.	5,879,435	Mar. 9, 1999	Satyapal et al.	96	16	Jan. 6, 1997
	40.	5,893,977	Apr. 13, 1999	Pucci	210	739	May 12, 1997
	41.	5,972,076	Oct. 26, 1999	Nichols et al.	95	81	Sep. 28, 1998
	42.	6,019,815	Feb. 1, 2000	Satyapal et al.	95	74	Sep. 11, 1998
	43.	6,042,637	Mar. 28, 2000	Weinberg	96	58	Sep. 28, 1998
	44.	6,063,168	May 16, 2000	Nichols et al.	96	80	Aug. 11, 1997
	45.	6,086,657	Jul. 11, 2000	Freije	95	2	Feb. 16, 1999
	46.	6,149,717	Nov. 21, 2000	Satyapal et al.	96	16	Dec. 22, 1998
	47.	6,149,815	Nov. 21, 2000	Sauter	210	635	Nov. 23, 1999
	48.	6,182,461 B1	Feb. 6, 2001	Washburn et al.	62	264	Jul. 16, 1999
	49.	6,193,852 B1	Feb. 27, 2001	Caracciolo et al.	204	176	May 28, 1997
	50.	6,212,883 B1	Apr. 10, 2001	Kang	60	275	Mar. 3, 2000

## U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	51.	6,252,012 B1	Jun. 26, 2001	Egitto et al.	525	431	Aug. 30, 1999
	52.	6,270,733 B1	Aug. 7, 2001	Rodden	422	186.07	Feb. 17, 2000
	53.	6,277,248 B1	Aug. 21, 2001	Ishioka et al.	204	176	Jan. 5, 2000
	54.	D449,097 S	Oct. 9, 2001	Smith et al.	D23	364	May 1, 2000
	55.	6,302,944 B1	Oct. 16, 2001	Hoenig	96	16	Apr. 18, 2000
	56.	D449,679 S	Oct. 23, 2001	Smith et al.	D23	365	May 1, 2000
	57.	6,309,514 B1	Oct. 30, 2001	Conrad et al.	204	164	Nov. 7, 1994
	58.	6,312,507 B1	Nov. 6, 2001	Taylor et al.	96	19	Feb. 12, 1999
	59.	6,315,821 B1	Nov. 13, 2001	Pillion et al.	96	416	Jan. 11, 2001
	60.	6,328,791 B1	Dec. 11, 2001	Pillion et al.	96	418	May 3, 2000
	61.	6,350,417 B1	Feb. 26, 2002	Lau et al.	422	186.04	May 4, 2000
	62.	6,372,097 B1	Apr. 16, 2002	Chen	204	176	Apr. 14, 2000
	63.	6,379,427 B1	Apr. 30, 2002	Siess	95	57	Dec. 6, 1999
	64.	6,391,259 B1	May 21, 2002	Malkin et al.	422	28	Jun. 26, 1997

## PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No	
	65.	09/669,253	Sep. 25, 2000	Taylor et al.		X
	66.	09/669,268	Sep. 25, 2000	Taylor et al.		X
	67.	09/730,499	Dec. 5, 2000	Taylor et al.		X
	68.	09/742,814	Dec. 19, 2000	Taylor et al.		X
	69.	09/774,198	Jan. 29, 2001	Taylor		X
	70.	60/306,479	July 18, 2001	Taylor		X

## PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No
	71.	09/924,624	Aug. 8, 2001	Taylor et al.	X
	72.	09/924,600	Aug. 8, 2001	Taylor et al.	X
	73.	60,341,179	Dec. 13, 2001	Taylor et al.	X
	74.	60/340,702	Dec. 13, 2001	Taylor et al.	X
	75.	60/341,377	Dec. 13, 2001	Taylor et al.	X
	76.	60/341,518	Dec. 13, 2001	Taylor	X
	77.	60/340,288	Dec. 13, 2001	Taylor	X
	78.	60/341,176	Dec. 13, 2001	Taylor	X
	79.	60/340,462	Dec. 13, 2001	Taylor	X
	80.	60/341,090	Dec. 13, 2001	Taylor	X
	81.	60/341,433	Dec. 13, 2001	Taylor	X
	82.	60/341,592	Dec. 13, 2001	Taylor	X
	83.	60/341,320	Dec. 13, 2001	Taylor	X
	84.	10/074,082	Feb. 12, 2002	Taylor et al.	X
	85.	10/156,158	May 28, 2002	Taylor et al.	X
	86.	60/391,070	Jun. 6, 2002	Reeves	X
	87.	10/188,668	Jul. 2, 2002	Taylor et al.	X

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes   No
	88.	WO 01/47803 A1	Jul. 5, 2001	PCT			✓
	89.	WO 01/48781 A1	Jul. 5, 2001	PCT			✓
	90.	FR 2690509	Oct. 29, 1993	France			✓
	91.	JP 10137007	May 26, 1998	Japan			✓
	92.	JP 11104223	Apr. 20, 1999	Japan			✓

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation	
							Yes	No
	93.	JP 2000236914	Sept. 5, 2000	Japan			✓	

## OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

	94.	LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999.
--	-----	--

Examiner

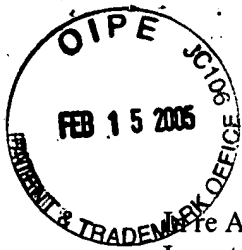
Date Considered

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN    /   , filed   , 20  , relied on under 35 USC §120.

\*2 = Copy not submitted because it was submitted in prior application SN    /   , filed   , 20  , relied on under 35 USC §120.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre Application

Inventor: Charles E. Taylor  
Appl. No.: 10/074,208  
Confirm. No.: 4381  
Filed: February 12, 2002  
Title: Electro-Kinetic Air Transporter-Conditioner Devices  
with Enhanced Collector Electrode

PATENT APPLICATION

Art Unit: 1711  
Examiner: Thao T. Tran  
Docket No. SHPR-01041USO

**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below.

By: Linda Saunders  
Linda Saunders

Dated: September 13, 2004

**FOURTH INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- ☐ The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at [pac/dapp/opla/preognotice/idswouscopies.htm](http://pac/dapp/opla/preognotice/idswouscopies.htm), copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR §1.98(a)(2), as still required.
- ☒ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 CFR §1.98 is enclosed.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

X This Information Disclosure Statement is being filed on the same date as an electronic Information Disclosure Statement. The \$180 fee is being paid herewith; therefore, no fee is due for the electronic Information Disclosure Statement filed on September 13, 2004.

Respectfully submitted,

Date: September 13, 2004

By: 

Jeffrey R. Kurin

Reg. No. 41,132

Customer No. 23910  
Fliesler Meyer LLP  
4 Embarcadero Center, Fourth Floor  
San Francisco, California 94111  
415/362-3800 Telephone  
415/362-2928 Facsimile

INFORMATION DISCLOSURE CITATION  
BY APPLICANT

## PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
	1.	60/104,573	10/16/1998	Krichtafovitch		X

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	2.	WO92/05875 A1	4/16/1992	International	✓	
	3.	WO96/04703 A1	2/15/1996	International	✓	
	4.	WO99/07474 A1	2/18/1999	International	✓	
	5.	WO03/013734 AA	2/20/2003	International	✓	
	6.	EP 0332624 B1	1/2/1992	Europe	✓	
	7.	CN 2111112 U	7/29/1972	China	✓	
	8.	CN 2138764 Y	6/27/1993	China	✓	
	9.	CN 2153231 Y	12/18/1993	China	✓	
	10.	CN 87210843 U	7/6/1988	China	✓	
	11.	DE 2206057	8/16/1973	Germany	✓	
	12.	GB 643,363	9/20/1950	Great Britain	✓	
	13.	JP S51-90077	8/6/1976	Japan	✓	
	14.	JP S62-20653	2/7/1987	Japan	✓	

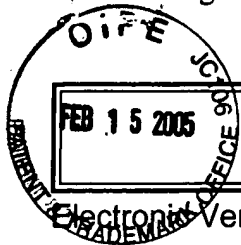
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	15.	Trion Console 250 Electronic Air Cleaner, Model Series 442857 and 445600, Manual for Installation-Operation-Maintenance, Trion Inc., 7 pp., believed to be at least one year prior to Nov. 5, 1998
	16.	Trion 350 Air Purifier, Model 450111-010, <a href="http://www.feddersoutlet.com/trion350.html">http://www.feddersoutlet.com/trion350.html</a> , 12 pp., believed to be at least one year prior to Nov. 5, 1998
	17.	Trion 150 Air Purifier, Model 45000-002, <a href="http://www.feddersoutlet.com/trion150.html">http://www.feddersoutlet.com/trion150.html</a> , 11 pp., believed to be at least one year prior to Nov. 5, 1998
	18.	Trion 120 Air Purifier, Model 442501-025, <a href="http://www.feddersoutlet.com/trion120.html">http://www.feddersoutlet.com/trion120.html</a> , 16 pp., believed to be at least one year prior to Nov. 5, 1998
	19.	Friedrich C-90A Electronic Air Cleaner, Service Information, Friedrich Air Conditioning Co., 12 pp., 1985
	20.	LakeAir Excel and Maxum Portable Electronic Air Cleaners, Operating and Service Manual, LakeAir International, Inc., 11 pp., 1971
	21.	Blueair AV 402 Air Purifier, <a href="http://www.air-purifiers-usa.biz/Blueair_AV402.htm">http://www.air-purifiers-usa.biz/Blueair_AV402.htm</a> , 4 pp., 1996
	22.	Blueair AV 501 Air Purifier, <a href="http://www.air-purifiers-usa.biz/Blueair_AV501.htm">http://www.air-purifiers-usa.biz/Blueair_AV501.htm</a> , 15 pp., 1997

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1

Stylesheet Version v1.1.1

Title of  
InventionElectro-Kinetic Air Transporter-Conditioner Devices with Enhanced  
Collector Electrode

Submission Type: Information Disclosure Statement

Application Number: 10/074208

\*10/074208\*

EFS ID: 68384

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	4381
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>

First Named Applicant: Charles Taylor

Attorney Docket Number: SHPR-01041USO

Timestamp: 2004-09-13 17:46:49 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
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Total files size		74329

Message Digest: 849e7febc851ab9f787eb214d487360483224d1d

Digital Certificate Holder Name: cn=Jeffrey R. Kurin,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S.

Government,c=US

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****Electro-Kinetic Air Transporter-Conditioner Devices with  
Enhanced Collector Electrode**

Application Number: 10/074208

**\*10/074208\***

Confirmation Number: 4381

First Named Applicant: Charles Taylor

Attorney Docket Number: SHPR-01041USO

Art Unit: 1711

Examiner: Thao T Tran

Search string: ( 0653421 or 0995958 or 4102654 or D315598 or 5012093 or  
5077500 or D329284 or D332655 or 5248324 or 5266004 or  
5290343 or 5435817 or 5532798 or D375546 or D377523 or  
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5012159 or 5053912 or 5180404 or 5766318 or 5993521 or  
6117216 or 6203600 or 6398852 or 20040052700 or  
20040065202 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	0653421	1900-07-10	Lorey			
	2	0995958	1911-06-20	Goldberg			
	3	4102654	1978-07-25	Pellin			

	4	D315598	1991-03-19	Yamamoto et al
	5	5012093	1991-04-30	Shimizu
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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20040052700	2004-03-18	Kotlyar et al			

	2	20040065202	2004-04-08	Gatchell et al
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**Signature**

Examiner Name	Date



**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****Electro-Kinetic Air Transporter-Conditioner Devices with  
Enhanced Collector Electrode**

Application Number: 10/074208

**\*10/074208\***

Confirmation Number: 4381

First Named Applicant: Charles Taylor

Attorney Docket Number: SHPR-01041USO

Art Unit: 1711

Examiner: Thao T Tran

Search string: ( 3540191 or 6635105 or 20040136863 or 20040166037 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3540191	1970-11-17	Herman			
	2	6635105	2003-10-21	Ahlborn et al			

**US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20040136863	2004-07-15	Yates et al			
	2	20040166037	2004-08-26	Youdell et al			

**Signature****Examiner Name****Date**

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## Title of Invention

Electro-Kinetic Air Transporter-Conditioner Devices with Enhanced  
Collector Electrode

Submission Type: Information Disclosure Statement

Application Number: 10/074208

\*10/074208\*

EFS ID: 72843

Server Response:

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ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
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First Named Applicant: Charles Taylor

Attorney Docket Number: SHPR-01041USO

Timestamp: 2004-11-19 12:43:38 EDT

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us-ids	SHPR-01041US0a-usidst.xml	1527
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	SHPR-01041US0a-pkda.xml	2092
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
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